

About Us *(continued)*

The United States Securities and Exchange Commission's (SEC) Office of Investor Education and Advocacy serves the tens of thousands of investors who contact the agency each year with investment-related complaints and questions. The Office's trained investor assistance specialists answer questions, analyze complaints, seek informal resolution of investors' problems, and distribute helpful educational materials. The SEC has assembled a number of investment-related resources for senior investors. www.sec.gov

SaveAndInvest.org

1735 K Street, NW
Washington, DC 20006-1506
www.saveandinvest.org

SaveAndInvest.org is the home of the FINRA Foundation's Investor Protection Campaign for Older Investors, a targeted effort to reduce the incidence of investment fraud among older investors by teaching the tactics most commonly used by fraudsters and the simple steps every investor can take to reduce their risk.



Learn to Spot and Avoid Fraud

Don't let cons outsmart you — learn their tricks

Agenda

Thursday, June 16, 2011 • 5:30 p.m. – 8:00 p.m.

Hilton Wilmington Riverside — Wilmington, North Carolina



Area Agency On Aging
Cape Fear Council of Governments

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Thursday, June 16, 2011

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Schedule

5:30 p.m.	Registration and Dinner
6:00 p.m.	Introductions Helen Savage , Associate State Director AARP North Carolina
6:05 p.m.	Opening Remarks Benjamin R. David , District Attorney Fifth Prosecutorial District, North Carolina
6:15 p.m.	Keynote Address The Honorable Elaine F. Marshall , North Carolina Secretary of State
6:35 p.m.	Break
6:45 p.m.	Outsmarting Investment Fraud Geraldine M. Walsh , Vice President and Chief Counsel FINRA Investor Education Foundation Lori Schock , Director SEC Office of Investor Education and Advocacy
7:30 p.m.	Question and Answer Session Moderator — Jane S. Jones , Director, Area Agency on Aging Panel — John J. Maron , Director, Investor Education Program, The Securities Division of the North Carolina Department of the Secretary of State Geraldine M. Walsh and Lori Schock
8:00 p.m.	Program Concludes

About Us

AARP is a nonprofit, nonpartisan membership organization that helps people 50+ have independence, choice and control in ways that are beneficial and affordable to them and society as a whole.
www.aarp.org

FINRA is an independent regulatory organization empowered by the federal government to ensure that America's 90 million investors are protected. The mission of the **FINRA Investor Education Foundation** is to provide underserved Americans with the knowledge, skills and tools necessary for financial success throughout life. FINRA and the FINRA Investor Education Foundation do not sell investment products, promote products or firms or offer specific investment advice. www.finrafoundation.org

The Securities Division of the North Carolina Department of the Secretary of State protects North Carolina investors by licensing broker-dealers, agents, investment advisers, and investment adviser representatives; reviewing registration statements and exemption filings for securities issuers who are seeking to sell in North Carolina; and investigating and prosecuting violations of the state's securities laws. www.secretary.state.nc.us/sec

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